

Region Containing the Zeros of Polynomials

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Abstract—It was proved by Joyal, Labelle and Rahman [A. Joyal, G. Labelle and Q. I. Rahman, On the location of zeros of polynomials, *Canad. Math. J., Bull.*, 10(1967), 53-63] that if $p > 1$, then all the zeros of $P(z) = z^n + a_{n-1}z^{n-1} + \dots + a_1z + a_0$ are contained in the circle $|z| \leq k$, where $k \geq \max(1, |a_{n-1}|)$ is a root of the equation

$$(|z| - |a_n|)^q (|z|^q - 1) - B^q = 0, \quad p^{-1} + q^{-1} = 1,$$

where

$$B = \left\{ \sum_{j=0}^{n-2} |a_j|^p \right\}^{\frac{1}{p}}, \quad p > 1.$$

In this paper, we not only generalize the above result but a verity of interesting results can be deduced from it.

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1. INTRODUCTION AND STATEMENT OF RESULTS

The problem of finding out the region which contains all or a prescribed number of zeros of a polynomial has long history and dates back to the earliest days when the geometric representation of complex numbers was introduced into mathematics. Since the days of Gauss [2] and Cauchy [1], many mathematicians have contributed to the further growth of the subject.

We first mention the following result due to Cauchy:

Theorem A. Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n . If $M = \max_{1 \leq j \leq n} \left| \frac{a_j}{a_n} \right|$, then all the zeros of $P(z)$ lie in

$$|z| \leq 1 + M.$$

As an improvement of Theorem A, Joyal, Labelle and Rahman [3], proved the following:

Theorem B. If $B = \left\{ \sum_{j=0}^{n-2} |a_j|^p \right\}^{\frac{1}{p}}$, $p > 1$ then all the zeros of $P(z) = z^n + a_{n-1}z^{n-1} + \dots + a_1z + a_0$ are contained in the circle $|z| \leq k$, where $k \geq \max(1, |a_{n-1}|)$ is a root of the equation

$$(|z| - |a_n|)^q (|z|^q - 1) - B^q = 0, \quad p^{-1} + q^{-1} = 1.$$

The following result is due to Montel and Marty [4, p.107].

Theorem C. All the zeros of the polynomial $P(z) = a_0 + a_1z + \dots + a_{n-1}z^{n-1} + z^n$

lie in $|z| \leq \max\left(L, L^{\frac{1}{n}}\right)$, where L is the length of the polygonal line joining in the succession the points $0, a_0, a_1, \dots, a_{n-1}, 1$; that is

$$L = |a_0| + |a_1 - a_0| + \dots + |a_{n-1} - a_{n-2}| + |1 - a_{n-1}|.$$

As a generalization of Theorem A and Theorem B Mohammad [5], proved the following:

Theorem D. If $0 < a_{i-1} \leq ka_i$, $k > 0$, then all the zeros of $P(z) = a_0 + a_1z + \dots + a_nz^n$ lie in $|z| \leq \max\left(M, M^{\frac{1}{n}}\right)$, where

$$M = \frac{(a_0 + a_1 + \dots + a_{n-1})}{a_n} (k - 1) + k.$$

In this paper, we first prove a more general result which not only improves upon Theorem C and Theorem D but also a variety of interesting results can be deduced from it by a fairly uniform procedure.

Theorem 1. If $P(z) = z^n + a_{n-1}z^{n-1} + \dots + a_1z + a_0$ is a polynomial of degree n , with $Re a_i = \alpha_i$ and $Im a_i = \beta_i$ for $i = 0, 1, 2, \dots, n-1$, then all the zeros of $P(z)$ will lie in $|z| \leq R = \max\left(L_p, L_p^{\frac{1}{n}}\right)$, where

$$L_p = (n+2)^{\frac{1}{q}} \left[\left(\sum_{i=1}^{n+2} |\alpha_{n-i+2} t_1 t_2 + \alpha_{n-i+1} (t_1 - t_2) - \alpha_{n-i}|^p \right)^{\frac{1}{p}} + \left(\sum_{i=1}^{n+2} |\beta_{n-i+2} t_1 t_2 + \beta_{n-i+1} (t_1 - t_2) - \beta_{n-i}|^p \right)^{\frac{1}{p}} \right].$$

$a_{-1} = a_{-2} = a_{n+1} = 0$, $p^{-1} + q^{-1} = 1$ and $t_1 > t_2 \geq 0$.

For $t_1 = 1$ and $t_2 = 0$, we obtain the following:

Corollary 1.1. If $P(z) = z^n + a_{n-1}z^{n-1} + \dots + a_1z + a_0$ is a polynomial of degree n , then all the zero of $P(z)$ will lie in $|z| \leq R = \max(L_p, L_p^{\frac{1}{n}})$, where

$$L_p = (n + 2)^{\frac{1}{q}} \left[\left(\sum_{i=1}^{n+2} |\alpha_{n-i+1} - \alpha_{n-i}|^p \right)^{\frac{1}{p}} + \left(\sum_{i=1}^{n+2} |\beta_{n-i+1} - \beta_{n-i}|^p \right)^{\frac{1}{p}} \right],$$

$$p^{-1} + q^{-1} = 1.$$

Taking $\beta_i = 0, \forall i$ and letting $q \rightarrow \infty$ in Corollary 1.1 so that $p \rightarrow 1$ and $(n + 2)^{\frac{1}{q}} \rightarrow 1$, we obtain Theorem C, a result due to Montel and Marty.

Next, we prove:

Theorem 2. Let $P(z) = \sum_{i=0}^n a_i z^i$ be a polynomial of degree n with $Re a_i = \alpha_i$ and $Im a_i = \beta_i$ for $i = 0, 1, 2, \dots, n$, such that for some $t_1 > t_2 \geq 0$ with

$$a_i t_1 t_2 + a_{i-1}(t_1 - t_2) - a_{i-2} \geq 0, \quad i = 1, 2, \dots, n + 1, \quad a_{-1} = a_{-2} = a_{n+1} = 0,$$

then all the zeros of $P(z)$ will lie in $|z| \leq \max(M, M^{\frac{1}{n}})$, where

$$M = \frac{(t_1 - 1)(t_2 + 1) \sum_{i=0}^{n-1} (\alpha_i + \beta_i) + (t_2(t_1 - 1) + t_1)(\alpha_n + \beta_n)}{|a_n|}.$$

For $t_2 = 0$, we obtain the following result:

Corollary 1.2. Let $P(z) = \sum_{i=0}^n a_i z^i$ be a polynomial of degree n with $Re a_i = \alpha_i$ and $Im a_i = \beta_i$ for $i = 0, 1, 2, \dots, n$, such that for some $t_1 > 0$ with

$$a_{i-1} t_1 - a_{i-2} \geq 0, \quad i = 1, 2, \dots, n + 1, \quad a_{-1} = a_{-2} = a_{n+1} = 0,$$

then all the zeros of $P(z)$ will lie in $|z| \leq \max(M, M^{\frac{1}{n}})$, where

$$M = \frac{(t_1 - 1) \sum_{i=0}^{n-1} (\alpha_i + \beta_i) + t_1(\alpha_n + \beta_n)}{|a_n|}.$$

For $t_1 = k$ and $\beta_i = 0 \forall i$ we get Theorem D, result due to Mohammad. Further for $t_1 = 1$, we get Enestromakeya Theorem.

2. PROOF OF THEOREMS

Proof of Theorem 1. Consider

$$F(z) = (t_2 + z)(t_1 - z)P(z).$$

$$= \{t_1 t_2 + (t_1 - t_2)z - z^2\} \{z^n + [\alpha_{n-1}z^{n-1} + \dots + \alpha_1z + \alpha_0] + i[\beta_{n-1}z^{n-1} + \dots + \beta_1z + \beta_0]\}.$$

$$= -z^{n+2} + \{[(t_1 - t_2) - \alpha_{n-1}]z^{n+1} + \{t_1 t_2 + \alpha_{n-1}(t_1 - t_2) - \alpha_{n-2}\}z^n + \dots + \{\alpha_2 t_1 t_2 + \alpha_1(t_1 - t_2) - \alpha_0\}z^2 + \{\alpha_1 t_1 t_2 + \alpha_0(t_1 - t_2)\}z + \alpha_0 t_1 t_2\}$$

$$+ i\{[(t_1 - t_2) - \beta_{n-1}]z^{n+1} + \{t_1 t_2 + \beta_{n-1}(t_1 - t_2) - \beta_{n-2}\}z^n + \dots + \{\beta_2 t_1 t_2 + \beta_1(t_1 - t_2) - \beta_0\}z^2 + \{\beta_1 t_1 t_2 + \beta_0(t_1 - t_2)\}z + \beta_0 t_1 t_2\}.$$

Therefore

$$|F(z)| \geq |z|^{n+2} \left\{ 1 - \left(\sum_{i=1}^{n+2} \frac{|\alpha_{n-i+2} t_1 t_2 + \alpha_{n-i+1}(t_1 - t_2) - \alpha_{n-i}|}{|z|^i} \right) + \left(\sum_{i=1}^{n+2} \frac{|\beta_{n-i+2} t_1 t_2 + \beta_{n-i+1}(t_1 - t_2) - \beta_{n-i}|}{|z|^i} \right) \right\}$$

$$\geq |z|^{n+2} \left\{ 1 - (n + 2)^{\frac{1}{q}} \left(\sum_{i=1}^{n+2} \frac{|\alpha_{n-i+2} t_1 t_2 + \alpha_{n-i+1}(t_1 - t_2) - \alpha_{n-i}|^p}{|z|^{ip}} \right)^{\frac{1}{p}} + \left(\sum_{i=1}^{n+2} \frac{|\beta_{n-i+2} t_1 t_2 + \beta_{n-i+1}(t_1 - t_2) - \beta_{n-i}|^p}{|z|^{ip}} \right)^{\frac{1}{p}} \right\}. \quad (1.2.1)$$

If $L_p \geq 1, \max(L_p, L_p^{\frac{1}{n}}) = L_p$. Let $|z| \geq 1$, then $\frac{1}{|z|^{ip}} \leq \frac{1}{|z|^p} (i = 1, 2, \dots, n + 2)$. Hence inequality (1.2.1) implies that if $|z| > L_p$, then

$$|F(z)| \geq |z|^{n+2} \left\{ 1 - \frac{(n + 2)^{\frac{1}{q}}}{|z|} \left[\left(\sum_{i=1}^{n+2} |\alpha_{n-i+2} t_1 t_2 + \alpha_{n-i+1}(t_1 - t_2) - \alpha_{n-i}|^p \right)^{\frac{1}{p}} \right] \right\}$$

$$+ \left(\sum_{i=1}^{n+2} |\beta_{n-i+2} t_1 t_2 + \beta_{n-i+1}(t_1 - t_2) - \beta_{n-i}|^p \right)^{\frac{1}{p}} \Bigg\}$$

$$= |z|^{n+2} \left(1 - \frac{L_p}{|z|} \right).$$

> 0.

Again If $L_p \leq 1$, $\max(L_p, L_p^{\frac{1}{n}}) = L_p^{\frac{1}{n}}$. Let $|z| \leq 1$, then $\frac{1}{|z|^{ip}} \leq \frac{1}{|z|^{np}}$ ($i = 1, 2, \dots, n + 2$). Hence by inequality (1.2.1), if $|z| > L_p^{\frac{1}{n}}$, then

$$|F(z)| \geq |z|^{n+2} \left\{ 1 - \frac{(n+2)^{\frac{1}{q}}}{|z|^n} \left[\left(\sum_{i=1}^{n+2} |\alpha_{n-i+2} t_1 t_2 + \alpha_{n-i+1}(t_1 - t_2) - \alpha_{n-i}|^p \right)^{\frac{1}{p}} + \left(\sum_{i=1}^{n+2} |\beta_{n-i+2} t_1 t_2 + \beta_{n-i+1}(t_1 - t_2) - \beta_{n-i}|^p \right)^{\frac{1}{p}} \right] \right\}$$

$$= |z|^{n+2} \left(1 - \frac{L_p}{|z|^n} \right).$$

> 0.

Hence $F(z)$ does not vanish for $|z| > \max(L_p, L_p^{\frac{1}{n}})$. Therefore all zeros of $F(z)$ will lie in $|z| \leq R = \max(L_p, L_p^{\frac{1}{n}})$. Since all the zeros of $P(z)$ are also the zeros of $F(z)$ the result follows.

Proof of Theorem 2. Consider

$$F(z) = (t_2 + z)(t_1 - z)P(z) = \{t_1 t_2 + (t_1 - t_2)z - z^2\} \{a_n z^n + [\alpha_{n-1} z^{n-1} + \dots + \alpha_1 z + \alpha_0] + i[\beta_{n-1} z^{n-1} + \dots + \beta_1 z + \beta_0]\}.$$

$$= -a_n z^{n+2} + \{[(t_1 - t_2) - \alpha_{n-1}]z^{n+1} + \{t_1 t_2 + \alpha_{n-1}(t_1 - t_2) - \alpha_{n-2}\}z^n + \dots + \{\alpha_2 t_1 t_2 + \alpha_1(t_1 - t_2) - \alpha_0\}z^2 + \{\alpha_1 t_1 t_2 + \alpha_0(t_1 - t_2)\}z + \alpha_0 t_1 t_2\} + i\{[(t_1 - t_2) - \beta_{n-1}]z^{n+1} + \{t_1 t_2 + \beta_{n-1}(t_1 - t_2) - \beta_{n-2}\}z^n + \dots + \{\beta_2 t_1 t_2 + \beta_1(t_1 - t_2) - \beta_0\}z^2 + \{\beta_1 t_1 t_2 + \beta_0(t_1 - t_2)\}z + \beta_0 t_1 t_2\}.$$

Applying Theorem 1 to the polynomial $\frac{F(z)}{a_n}$, we have all the zeros of $P(z)$ lie in $|z| \leq \max(L_1, L_1^{\frac{1}{n}})$,

$$L_1 = \frac{\sum_{i=0}^{n+1} |\alpha_i t_1 t_2 + \alpha_{i-1}(t_1 - t_2) - \alpha_{i-2}|}{|a_n|} + \frac{\sum_{i=0}^{n+1} |\beta_i t_1 t_2 + \beta_{i-1}(t_1 - t_2) - \beta_{i-2}|}{|a_n|} = \frac{t_1 t_2 (\alpha_0 + \alpha_1 + \dots + \alpha_n) + (t_1 - t_2)(\alpha_0 + \alpha_1 + \dots + \alpha_n) - (\alpha_0 + \alpha_1 + \dots + \alpha_{n-1})}{|a_n|} + \frac{t_1 t_2 (\beta_0 + \beta_1 + \dots + \beta_n) + (t_1 - t_2)(\beta_0 + \beta_1 + \dots + \beta_n) - (\beta_0 + \beta_1 + \dots + \beta_{n-1})}{|a_n|} = \frac{(t_1 - 1)(t_2 + 1) \sum_{i=0}^{n-1} (\alpha_i + \beta_i) + (t_2(t_1 - 1) + t_1)(\alpha_n + \beta_n)}{|a_n|} = M.$$

This completes the proof.

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